

Uncovering the Mechanism Behind the Improved Stability of 2D Organic–Inorganic Hybrid Perovskites

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2D organic–inorganic hybrid perovskites (OIHPs) may resolve the stability problem of bulk OIHPs. First-principles calculations are employed to investigate the mechanism behind their favorable material properties. Two processes are identified to play a critical role: First, the 2D structure supports additional distortions that enhance the intrinsic structural stability. Second, the surface terminations of 2D OIHPs suppress degradation effects due to humidity. Having uncovered the stabilization mechanism, 2D OIHPs are designed with optimal stability and favorable electronic properties.

Organic–inorganic hybrid perovskites (OIHPs) have outstanding potential in light harvesting devices.^[1–8] Important properties include the direct bandgap, low carrier effective mass, defect tolerance, high charge mobility, long lifetime, and low-cost fabrication.^[3–6] The power conversion efficiency of solar cells based on OIHPs has improved from 3.8% in 2009,^[9] in several steps,^[10–19] to 23.2% in 2018.^[20] In spite of this quick progress, there remain two great challenges that hinder large-scale commercial applications. The first problem is the toxicity of Pb, which calls for strategies to develop Pb-free OIHPs.^[21–26] The second problem is the poor long-term stability of the materials, which has both intrinsic thermodynamic^[27,28] and environment-induced^[12,29–31] origins. In this context, it has been demonstrated that the introduction of Cs cations^[32,33] and the replacement of methylammonium (MA⁺) with formamidinium (FA⁺) cations^[33–35] in I-based OIHPs are promising approaches to improve the stability.

Recently, Ruddlesden–Popper 2D OIHPs attract interest due to an enhanced resistance against humidity combined with power conversion efficiencies comparable to bulk OIHPs.

For example, PEA₂MA₂Pb₃I₁₀ (PEA = C₆H₅(CH₂)₂NH₃⁺) can resist 52% relative humidity more than twice as long as MAPbI₃.^[29] While the power conversion efficiency of MAPbI₃ is found to decrease to 40% after 24 h and then slowly to less than 10% after 2250 h, BA₂MA₃Pb₄I₁₃ (BA = CH₃(CH₂)₃NH₂⁺) retains 80% after 200 h and 70% after 2050 h.^[36] The general structure of 2D S₂A_{n−1}M_nX_{3n+1} OIHPs (S: spacer ammonium cation, A: bulk cation, M: metal, and X: halogen) is illustrated in **Figure 1**. Synthesis of high-


quality samples with $n = 1, 2, 3, 4$, and 5 and the dependence of their electronic properties on the thickness n have been reported in Refs. [37–39]. Excellent performance in solar cells has been demonstrated in Refs. [40–42] and other applications have been discussed in Refs. [43–45].

However, basic understanding of the mechanisms behind the enhanced stability is strongly limited. In general, the stability of a material is determined by 1) its intrinsic structure^[46,47] and 2) potential reactions with the environment.^[48,49] We use in the present work first-principles calculations to study the roles of the different structural components for the stability of 2D OIHPs and for their electronic properties. The migration process of H₂O from the surface into the bulk is explored in detail, employing the migration barrier as index to evaluate the material stability. We develop insights into the factors determining the stability of 2D OIHPs, which will allow us to identify materials (with comparable or even improved electronic properties) that are significantly more stable than BA₂MA_{n−1}Pb_nI_{3n+1} and PEA₂MA_{n−1}Pb_nI_{3n+1}.

First-principles calculations are performed employing the generalized gradient approximation (Perdew–Burke–Ernzerhof parametrization, PBE) and projector-augmented wave method.^[50] An onsite interaction is considered for the Pb 6s orbital to overcome the self-interaction error, using the experimental bandgap of Ref. [51] as indicator to determine the value of the onsite parameter. It turns out that an onsite parameter of 7.5 eV results in excellent agreement, with theoretical (experimental) bandgaps of 2.50 eV (2.44 eV) for BA₂PbI₄, 2.34 eV (2.16 eV) for BA₂MAPb₂I₇, 2.16 eV (2.05 eV) for BA₂MA₂Pb₃I₁₀, 1.93 eV (1.92 eV) for BA₂MA₃Pb₄I₁₃, and 2.50 eV (2.44 eV) for BA₂MA₄Pb₅I₁₆. The van der Waals interaction^[52,53] is also included in the calculations in agreement with previous work.^[54] The simulation cells for the 2D OIHPs are built with vacuum slabs thicker than 15 Å to avoid artificial interaction of periodic images. We employ 5 × 5 × 1 and 15 × 15 × 1 Monkhorst–Pack k-grids in the structural

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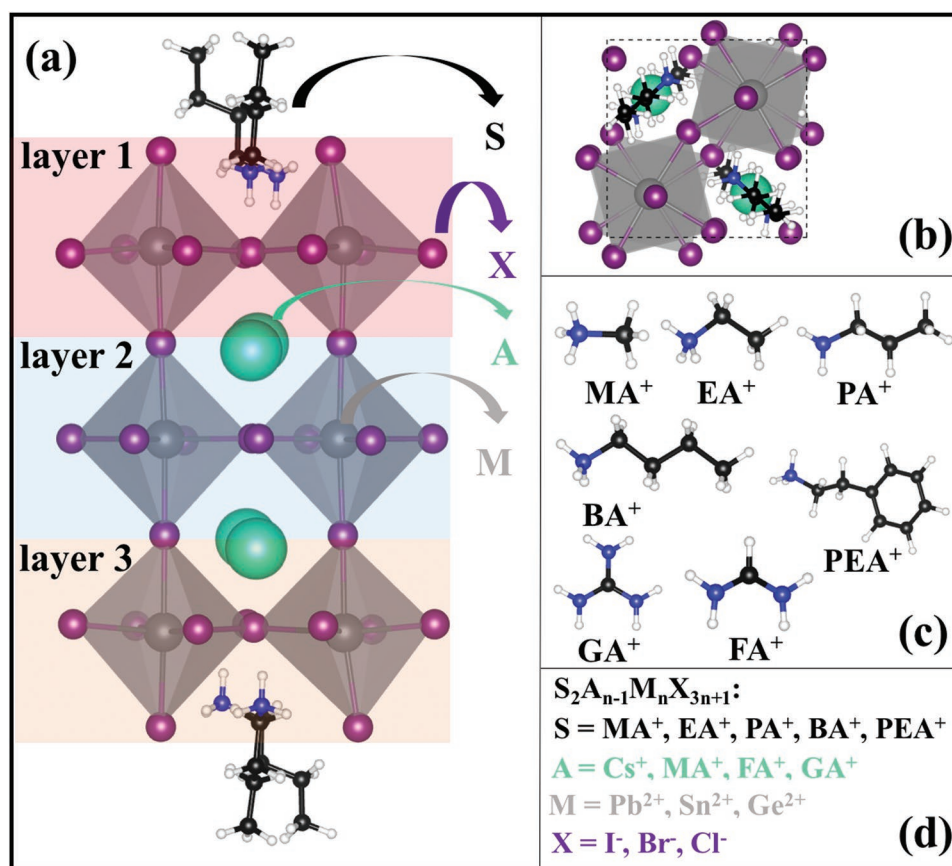


Figure 1. a) Side and b) top views of the $S_2A_2M_3X_{10}$ structure, showing in-plane distortions of the M-centered octahedra. Layers 1 and 3 form the surface. c) Structures of the amine molecules. d) Possible compositions.

relaxations and self-consistent calculations, respectively, and 51 k-points between high symmetry points of the Brillouin zone in the band structure calculations. In addition, the force and total energy convergence thresholds are set to 0.01 eV \AA^{-1} and 10^{-6} eV, respectively. H_2O migration barriers are obtained by means of the climbing image nudged elastic band method,^[55,56] using 12 images to connect the initial and final configurations (force convergence 0.05 eV \AA^{-1}). Finally, 2×2 supercells are used for ab-initio molecular dynamics simulations at 300 K (NVT ensemble, Nosé–Hoover thermostat, total simulation time 10 ps, time step of 1 fs).

Bulk $MAPbI_3$ exists in tetragonal and orthorhombic phases, the former being energetically favorable at room temperature.^[57] Our calculations confirm this order for BA_2PbI_4 , with an energy difference of 0.31 eV per formula unit. For this reason, we will consider the tetragonal phase in the following. As previous work indicates that $n = 3$ is sufficient to model the (001) surface, we will investigate the structural and electronic properties of $S_2A_2M_3X_{10}$ slab configurations,^[58] as shown in Figure 1, considering at the S and A sites methylammonium (MA^+), ethylammonium (EA^+), propylammonium (PA^+), butylammonium (BA^+), phenylethylammonium (PEA^+), guanidinium (GA^+), and formamidinium (FA^+), at the M site Pb^{2+} , Sn^{2+} , and Ge^{2+} , and at the X site Cl^- , Br^- , and I^- . In each case, the material stability will be compared with that of $BA_2MA_2Pb_3I_{10}$ and $PEA_2MA_2Pb_3I_{10}$, for which we obtain lattice constants of

$a = 8.76$ \AA and $b = 8.68$ \AA , i.e., about 2% smaller than the experimental values^[39] due to the finite temperature in the experiment. Table 1 lists the obtained lattice constants for all the considered structures, showing that the S, A, and M sites have only little effects in contrast to the X site (lattice constants decrease from I^- to Br^- by 0.42 \AA and from Br^- to Cl^- by 0.63 \AA).

For $A = MA$, $M = Pb$, and $X = I$, 2D OIHPs decompose into the monoiodides of the spacer ammonium cations (SI) and methylammonium iodide (MAI) as well as PbI_2 . The formation energy

$$E_f = \frac{E - 2E_{SI} - (n-1)E_{MAI} - nE_{PbI_2}}{n} \quad (1)$$

associated with this process is used to evaluate the intrinsic structural stability (negative values indicate stable structures). While E is the total energy of the 2D OIHP, E_{SI} , E_{MAI} , and E_{PbI_2} are the total energies per formula unit of molecular SI, molecular MAI, and bulk PbI_2 , respectively. All these energy values are obtained after structural relaxation, employing the same computational setup. In Figure 2a, we present results for E_f of $MA_2MA_{n-1}Pb_nI_{3n+1}$ in comparison to the calculated values for experimentally realized $BA_2MA_{n-1}Pb_nI_{3n+1}$ and $PEA_2MA_{n-1}Pb_nI_{3n+1}$ for thicknesses of $n = 1, 2, 3, 4$, and 5 layers. In each case, the values are less negative for $MA_2MA_{n-1}Pb_nI_{3n+1}$, implying lower intrinsic stability. According to Equation (1),

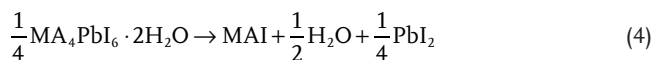
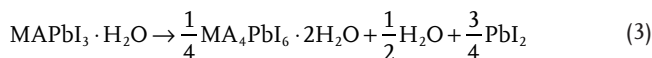
Table 1. Lattice constants, bandgap, and effective mass of $S_2A_2M_3X_{10}$.

	Lattice constants [Å]		Bandgap [eV]		Effective mass [m_e]	
	<i>a</i>	<i>B</i>	PBE	PBE + SOC + U	hole	electron
MA ₂ MA ₂ Pb ₃ I ₁₀	8.74	8.72	2.00	2.12	0.28	0.28
EA ₂ MA ₂ Pb ₃ I ₁₀	8.75	8.73	2.00	2.11	0.27	0.25
PA ₂ MA ₂ Pb ₃ I ₁₀	8.78	8.73	2.00	2.11	0.28	0.29
BA ₂ MA ₂ Pb ₃ I ₁₀	8.76	8.68	1.99	2.16	0.28	0.31
PEA ₂ MA ₂ Pb ₃ I ₁₀	8.73	8.67	2.00	2.13	0.28	0.30
BA ₂ Cs ₂ Pb ₃ I ₁₀	8.77	8.67	1.93	2.10	0.23	0.23
BA ₂ FA ₂ Pb ₃ I ₁₀	8.99	8.76	1.93	2.01	0.25	0.27
BA ₂ GA ₂ Pb ₃ I ₁₀	8.98	8.97	1.88	1.98	0.24	0.25
BA ₂ MA ₂ Ge ₃ I ₁₀	8.69	8.56	1.37	–	0.29	0.26
BA ₂ MA ₂ Sn ₃ I ₁₀	8.74	8.67	1.03	–	0.14	0.29
BA ₂ MA ₂ Pb ₃ Cl ₁₀	8.13	8.02	2.80	3.21	0.36	0.47
BA ₂ MA ₂ Pb ₃ Br ₁₀	8.34	8.22	2.36	2.67	0.32	0.43

this difference must be due to the term $E - 2E_{SI}$, i.e., related to the spacer ammonium cations. The exothermic energies from BA to BAI and PEA to PEAI are larger than that from MA to MAI, demonstrating that the spacer ammonium cations affect the stability of the material. The fact that the curves in Figure 2a increase with the thickness n (to the corresponding bulk values) indicates that reduction of the dimension enhances the intrinsic stability of the materials. This effect can be attributed to additional structural distortions in thinner slabs, similar to the finding that the tetragonal phase of $BA_2Pb_4I_4$ is energetically favorable over the orthorhombic phase due to in-plane and out-of-plane octahedral distortions, see Figure 2b,c. Suppression of the out-of-plane distortions in 2D OIHPs with increasing thickness n results in internal stress and thus in reduced stability.

While it is known that bulk OIHPs are sensitive to humidity, the exact effect of H_2O on the stability of 2D OIHPs is not clear. Understanding the atomic mechanism how H_2O molecules interact with 2D OIHPs, however, is critical for developing strategies of materials design. It has been demonstrated experimentally that $MAPbI_3$ films decompose in the presence of H_2O in a three-step process, specifically 1) formation

of $MAPbI_3 \cdot H_2O$, 2) partial degradation into $MA_4PbI_6 \cdot 2H_2O$, and 3) complete degradation into MAI and PbI_2 with release of H_2O molecules^[59]



As 2D OIHPs are characterized by their hydrophobic spacer ammonium cations, we now investigate the migration process of H_2O molecules from the surface into the bulk by the climbing image nudged elastic band method and by ab-initio molecular dynamics simulations. The same computational methodology has been applied to the bulk materials in Ref. [58]. According to the data given in Figure 3a, we obtain in the case of $BA_2MA_2Pb_3I_{10}$ a migration barrier of 0.72 eV, much higher than that of $MA_2MA_2Pb_3I_{10}$ (0.13 eV).^[58] Initially, the H atoms of the H_2O molecule prefer pointing to the surface I atoms because of Coulombic interaction. During infiltration this interaction weakens and H bonds are formed to the spacer ammonium cations. The energy cost to break the H bonds determines the migration barrier together with the serious structural distortions of the octahedral framework that develop when the H_2O molecule moves. Turning to Figure 3b, the case of $PEA_2MA_2Pb_3I_{10}$ is more complicated due to the benzene ring of PEA^+ . Crossing of the H_2O molecule through the benzene ring requires to overcome a barrier of 0.16 eV and yields a total energy gain of 0.02 eV by the formation of H bonds to the NH_2^+ group. The structural distortions of the octahedral framework then lead to a migration barrier of 0.64 eV. Bond lengths and angles in the initial, transition, and final structures of the climbing image nudged elastic band calculations are summarized in Figure S1 and Table S1 in the Supporting Information. We note that the effects of H_2O infiltration on

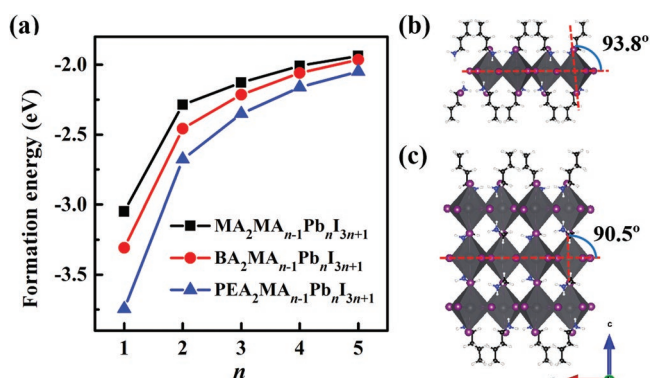


Figure 2. a) Formation energy as function of the thickness n . Top and side views of b) orthorhombic and c) tetragonal $BA_2Pb_4I_4$. The tilting angles are marked.

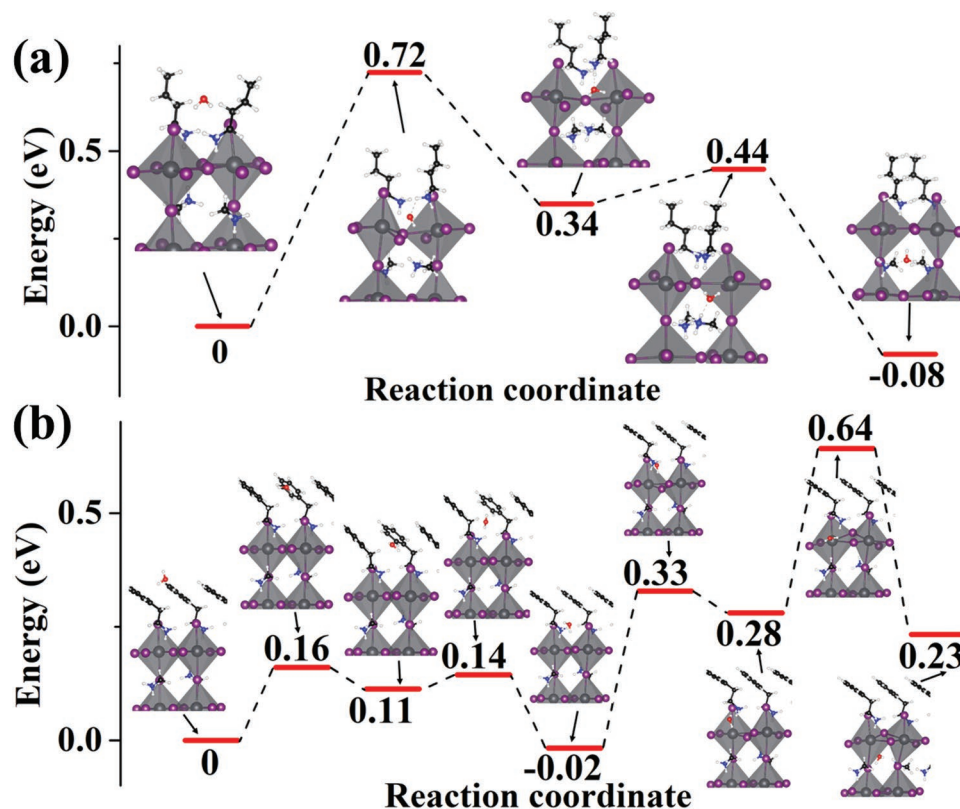


Figure 3. Migration of H₂O from the surface into the bulk of a) BA₂MA₂Pb₃I₁₀ and b) PEA₂MA₂Pb₃I₁₀.

the electronic properties are comparable to those reported for MA₂MA₂Pb₃I₁₀.^[51]

Resistance against humidity is also seen in ab-initio molecular dynamics simulations in that we cover the materials with liquid water. **Figure 4a–c** gives snapshots of the structures in the end of our simulations for MA₂MA₂Pb₃I₁₀, BA₂MA₂Pb₃I₁₀,

and PEA₂MA₂Pb₃I₁₀. For MA₂MA₂Pb₃I₁₀ the MA molecules on the surface tend to diffuse into the water and the outmost octahedra show serious distortions, see the green-dashed circles in **Figure 4a**. On the other hand, no such distortions are observed for BA₂MA₂Pb₃I₁₀ and PEA₂MA₂Pb₃I₁₀, reflecting excellent structural stability. We find that the H₂O molecules hardly cross

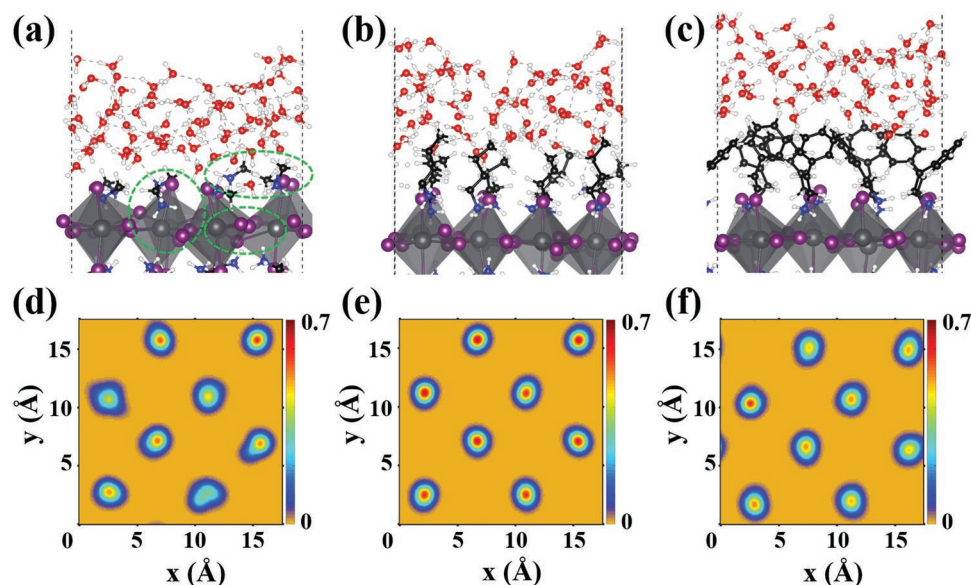
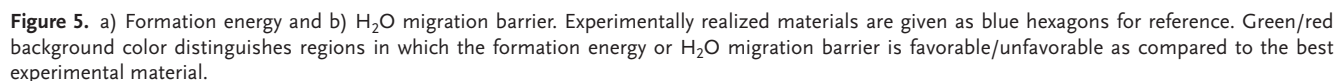
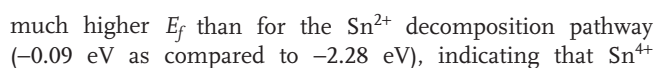


Figure 4. Snapshots in the end of ab-initio molecular dynamics simulations for a) MA₂MA₂Pb₃I₁₀, b) BA₂MA₂Pb₃I₁₀, and c) PEA₂MA₂Pb₃I₁₀ covered with H₂O molecules. Probability maps of the horizontal positions of the outmost I atoms are given in panels (d–f), respectively.



MA⁺, EA⁺, and PA⁺ spacer ammonium cations lead to migration barriers of 0.13 eV for MA₂MA₂Pb₃I₁₀, 0.48 eV for EA₂MA₂Pb₃I₁₀, and 0.80 eV for PA₂MA₂Pb₃I₁₀, see **Figure 5b**, showing that the migration barrier increases with growing length of the hydrocarbon chain up to three C atoms. Short hydrocarbon chains, on the other hand, allow the H₂O molecules to approach the octahedral framework easily and the fact that such spacer ammonium cations may move and/or rotate helps to release energy from structural distortions. While longer hydrocarbon chains can stabilize 2D OIHPs, shorter chains and more conductive ligands aid optoelectronic applications. The intrinsic structural stability can be evaluated in terms of the formation energy. PA₂MA₂Pb₃I₁₀, BA₂GA₂Pb₃I₁₀, BA₂MA₂Sn₃I₁₀, BA₂MA₂Pb₃Cl₁₀, and BA₂MA₂Pb₃Br₁₀ show lower values, see the green region in **Figure 5a**, than BA₂MA₂Pb₃I₁₀. On the other hand, the H₂O migration barriers of PA₂MA₂Pb₃I₁₀, BA₂FA₂Pb₃I₁₀, BA₂MA₂Sn₃I₁₀, and BA₂MA₂Pb₃Br₁₀ are higher than that of BA₂MA₂Pb₃I₁₀, see the green region in **Figure 5b**, reflecting more resistance against humidity. Both improved intrinsic structural stability and resistance against humidity are found for PA₂MA₂Pb₃I₁₀, BA₂MA₂Sn₃I₁₀, and BA₂MA₂Pb₃Br₁₀. The second of these materials does not contain Pb and, notably, has been realized experimentally in Ref. [60]. We obtain for the Sn⁴⁺ decomposition pathway of BA₂MA₂Sn₃I₁₀



The bandgaps, effective masses, and adsorption coefficients of the different 2D OIHPs are compared in **Figure 6**, see also Table 1. In Figure 6a we give bandgaps obtained on the PBE level, considering spin-orbital coupling (PBE + SOC) and additionally an onsite interaction for the Pb 6s orbital (PBE + SOC + U). It turns out that SOC effects (reduction of the size of the bandgap) are much smaller for $\text{BA}_2\text{MA}_2\text{Ge}_3\text{I}_{10}$ and $\text{BA}_2\text{MA}_2\text{Sn}_3\text{I}_{10}$ than for the Pb-based materials. On the PBE + SOC + U level the bandgap of 2.16 eV for $\text{BA}_2\text{MA}_2\text{Pb}_3\text{I}_{10}$ decreases to 1.37 eV for $\text{BA}_2\text{MA}_2\text{Ge}_3\text{I}_{10}$ and 1.03 eV for $\text{BA}_2\text{MA}_2\text{Sn}_3\text{I}_{10}$ but increases to 3.21 eV for $\text{BA}_2\text{MA}_2\text{Pb}_3\text{Cl}_{10}$ and 2.67 eV for $\text{BA}_2\text{MA}_2\text{Pb}_3\text{Br}_{10}$. On the other hand, the size of the bandgap is little affected by the S and A sites, as they hardly contribute to the states at the band edges. For the same reason, the effective mass varies only between 0.23 and 0.30 m_e , see Figure 6b. $\text{BA}_2\text{MA}_2\text{Sn}_3\text{I}_{10}$ shows the smallest hole effective mass of 0.14 m_e . For $\text{BA}_2\text{MA}_2\text{Pb}_3\text{Cl}_{10}$ and $\text{BA}_2\text{MA}_2\text{Pb}_3\text{Br}_{10}$ the carrier effective masses are found to be larger than for $\text{BA}_2\text{MA}_2\text{Pb}_3\text{I}_{10}$. Figure 6c gives adsorption coefficients calculated on the PBE + SOC + U level, the results being consistent with the above trends of the bandgaps. Focusing on the three identified materials with improved stability, $\text{PA}_2\text{MA}_2\text{Pb}_3\text{I}_{10}$ resembles the features of $\text{BA}_2\text{MA}_2\text{Pb}_3\text{I}_{10}$, while the bandgap is reduced for $\text{BA}_2\text{MA}_2\text{Sn}_3\text{I}_{10}$ and enhanced for $\text{BA}_2\text{MA}_2\text{Pb}_3\text{Br}_{10}$.

We have studied the mechanisms leading to improved stability of 2D OIHPs as compared to bulk OIHPs by calculating formation energies and H₂O migration barriers. We have demonstrated that reduction of the dimension enhances the intrinsic stability due to introduction of additional structural degrees of freedom. On the other hand, the spacer ammonium cations, in particular those with long hydrophobic hydrocarbon chains, stabilize 2D OIHPs by separating H₂O from the octahedral framework. We also have succeeded to identify three candidate materials, PA₂MA₂Pb₃I₁₀, BA₂MA₂Sn₃I₁₀, and BA₂MA₂Pb₃Br₁₀, that exhibit both better intrinsic structural

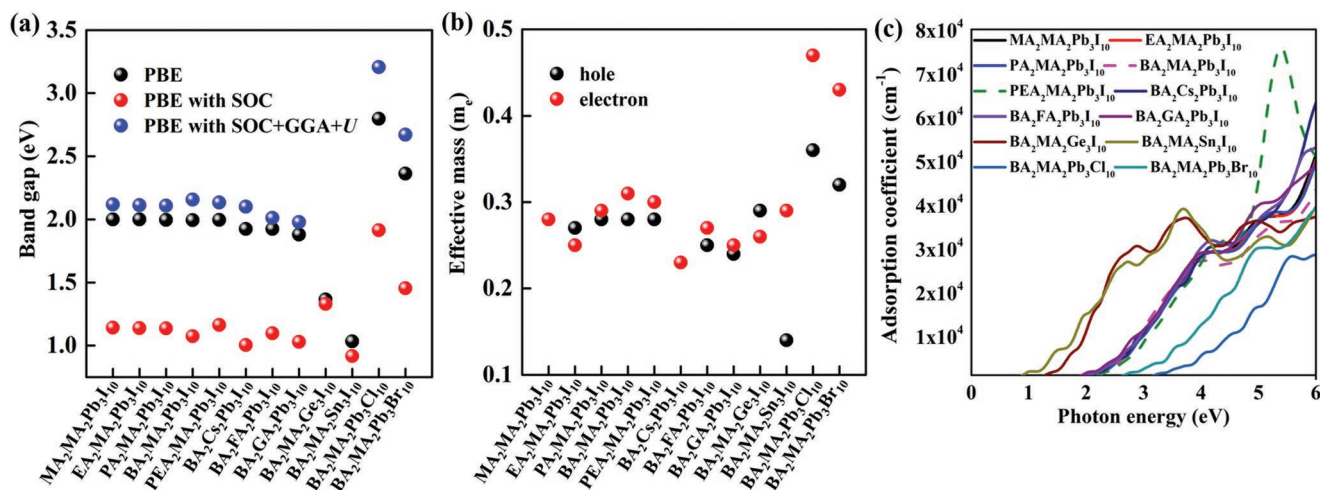


Figure 6. a) Bandgap, b) effective mass, and c) adsorption coefficient. The dashed lines represent the experimentally realized structures.

stability and resistance against humidity as compared to experimentally studied BA₂MA₂Pb₃I₁₀. BA₂MA₂Sn₃I₁₀ is of special interest, as it does not contain toxic Pb.

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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Conflict of Interest

The authors declare no conflict of interest.

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