

# Inhomogeneous-Broadening-Induced Intense Upconversion Luminescence in $\text{Tm}^{3+}$ and $\text{Yb}^{3+}$ Codoped $\text{Lu}_2\text{O}_3\text{--ZrO}_2$ Disordered Crystals

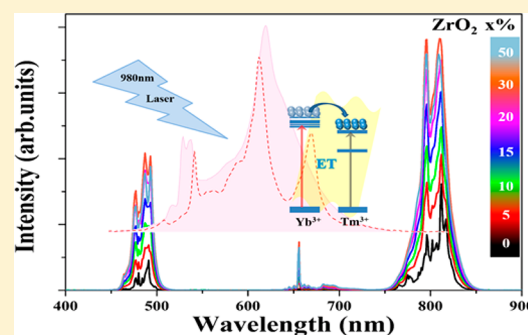
Wen Liu,<sup>†,‡,§</sup> Zhendong Hao,<sup>\*,†</sup> Liangliang Zhang,<sup>†</sup> Hao Wu,<sup>†,‡</sup> Xia Zhang,<sup>†</sup> Yongshi Luo,<sup>†</sup> Guohui Pan,<sup>†</sup> Haifeng Zhao,<sup>†</sup> Zuoling Fu,<sup>§</sup> and Jiahua Zhang<sup>\*,†</sup>

<sup>†</sup>State Key Laboratory of Luminescence and Applications, Changchun Institute of Optics, Fine Mechanics and Physics, Chinese Academy of Sciences, 3888 Eastern South Lake Road, Changchun 130033, China

<sup>‡</sup>University of Chinese Academy of Sciences, Beijing 100049, China

<sup>§</sup>Coherent Light and Atomic and Molecular Spectroscopy Laboratory, Key Laboratory of Physics and Technology for Advanced Batteries, College of Physics, Jilin University, Changchun 130012, China

**ABSTRACT:** Near-infrared (980 nm) to near-infrared (800 nm) and blue (490 nm) upconversion has been studied in 0.2%  $\text{Tm}^{3+}$  and 10%  $\text{Yb}^{3+}$  codoped  $\text{Lu}_2\text{O}_3\text{--ZrO}_2$  solid solutions as a function of the  $\text{ZrO}_2$  content in the range of 0–50%, prepared by a high-temperature solid-state reaction. The continuous enhancement of upconversion luminescence is observed with increasing  $\text{ZrO}_2$  content up to 30%. Analyses of the  $\text{Yb}^{3+}$  emission intensity and lifetime indicate enlarged absorption of a 980 nm excitation laser and enhanced energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$  with the addition of  $\text{ZrO}_2$ . The spectrally inhomogeneous broadening of the dopants in this disordered solid solution is considered to play the main role in the enhancement by providing better matches with the excitation laser line and increasing the spectral overlap for efficient energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$ . In addition, the inhomogeneous broadening is also validated to improve energy migration among  $\text{Yb}^{3+}$  ions and energy back transfer from  $\text{Tm}^{3+}$  to  $\text{Yb}^{3+}$ . Hence, it is understandable that a drop in the upconversion luminescence intensity occurs as the concentration of  $\text{ZrO}_2$  is further increased from 30% to 50%. This work indicates the possibility of disordered crystals to achieve intense upconversion luminescence for biological and optoelectronic applications.



## INTRODUCTION

Rare-earth-based upconversion (UC) materials have recently drawn considerable attention because of their potential applications in biolabeling, volumetric displays, solar cells, etc.<sup>1–4</sup> The selection of appropriate host materials is essential for highly efficient UC emissions.<sup>5,6</sup> To date, the most efficient host for UC is hexagonal  $\text{Na(Y,Lu)F}_4$ , but the toxicity of fluoride materials may greatly restrict their applicability in a variety of areas.<sup>7–10</sup> For this reason, UC in oxide materials is still significant because of their environmentally friendly and chemical-stable properties.<sup>11</sup> Oxides generally possess lower UC efficiency than fluorides because of their high phonon energies.<sup>12</sup> In this regard, methods to improve the UC intensities in oxides is imperative.

Cubic rare-earth sesquioxides  $\text{Y}_2\text{O}_3$  and  $\text{Lu}_2\text{O}_3$  have been widely adopted as the typical oxide UC hosts because of their relatively low phonon energy in oxides.<sup>13–15</sup> Compared with yttrium, lutetium is regarded as a more favorable cation for the emission of trivalent lanthanide dopants.<sup>16</sup> It is for this reason that the top of the valence band in  $\text{Lu}_2\text{O}_3$  is mainly composed of Lu 4f orbitals rather than O or F 2p orbitals in  $\text{Y}_2\text{O}_3$ .<sup>17</sup>  $\text{Lu}_2\text{O}_3$  has been reported as a proper host to obtain efficient upconversion luminescence (UCL) upon 980 nm laser-diode

(LD) excitation when codoped with  $\text{Tm}^{3+}/\text{Yb}^{3+}$ ,  $\text{Er}^{3+}/\text{Yb}^{3+}$ , and  $\text{Ho}^{3+}/\text{Yb}^{3+}$  couples.<sup>18,19</sup> Among these, the  $\text{Tm}^{3+}/\text{Yb}^{3+}$  combination is of particular interest because of its strong blue and near-IR (NIR) UC around 800 nm, which is located within the tissue optical transmission window (750–1000 nm).<sup>20,21</sup> Many kinds of techniques have been investigated to improve UCL in oxides, such as adjusting the dopant concentration, preparing the core/shell structure, etc.,<sup>22–26</sup> while achieving intense UC in disordered crystals is rarely mentioned.

Rare-earth sesquioxides and zirconia can form solid solutions, which are characterized by disordered crystals, because of which the absorption and luminescence spectra of rare-earth ions in these crystals demonstrate considerable inhomogeneous broadening.<sup>27</sup> Hence, the solid solution is a promising laser host for producing an ultrashort pulse laser and/or a wavelength-tunable laser.<sup>28</sup> If the solid solution is selected as the host for  $\text{Yb}^{3+}$ -sensitized UC, as in the case of ordered crystals, the pump wavelength only needs to simply fall within the broadened absorption band in the disordered crystals, instead of difficultly matching the narrow absorption line of  $\text{Yb}^{3+}$  in ordered crystals.

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The spectrally inhomogeneous broadening could make the absorption band of sensitizer  $\text{Yb}^{3+}$  easily match the 980 nm LD laser line and thus achieve intense UCL. To the best of our knowledge, UCL based on a sesquioxide  $-\text{ZrO}_2$  system has not been reported yet.

In this paper, we report enhanced UCL of the  $\text{Tm}^{3+}/\text{Yb}^{3+}$  couple in  $\text{Lu}_2\text{O}_3$  by the addition of  $\text{ZrO}_2$  to form a  $\text{Lu}_2\text{O}_3-\text{ZrO}_2$  solid solution. Compared with  $\text{Lu}_2\text{O}_3$ , the blue and NIR UCLs in a  $\text{Lu}_2\text{O}_3-\text{ZrO}_2$  solid solution with 30%  $\text{ZrO}_2$  are increased by 4.6 and 2.4 times, respectively. The role of spectrally inhomogeneous broadening of the dopants on the UCL enhancement is revealed, indicating enhanced absorption of the 980 nm LD line by  $\text{Yb}^{3+}$  and efficient energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$  in  $\text{Lu}_2\text{O}_3-\text{ZrO}_2$  disordered crystals. The mechanism for the improvement of UCL in the disordered crystals and the UC properties of a  $\text{Lu}_2\text{O}_3-\text{ZrO}_2$  solid solution have been explained and demonstrated in detail.

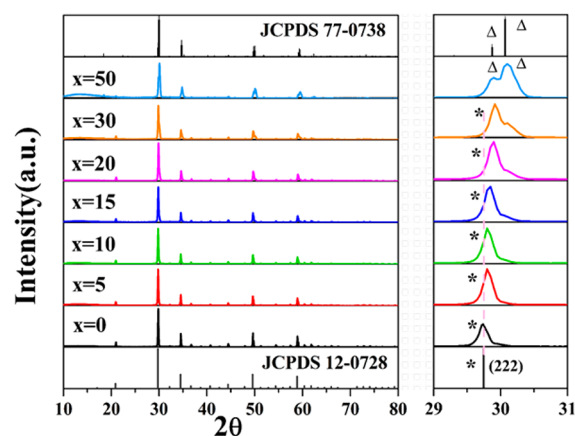
## EXPERIMENTAL SECTION

**Sample Preparation.** The series of samples investigated in this work were prepared by a solid-state reaction. The oxides  $\text{Lu}_2\text{O}_3$  (4N),  $\text{ZrO}_2$  (4N),  $\text{Yb}_2\text{O}_3$  (4N), and  $\text{Eu}_2\text{O}_3$  (4N) were employed as raw materials. The appropriate amount of  $\text{Tm}_2\text{O}_3$  (4N) powder was dissolved in nitric acid to obtain a 0.005 M  $\text{Tm}(\text{NO}_3)_3$  solution and then added into mixed oxides ( $\text{Lu}_2\text{O}_3$ ,  $\text{ZrO}_2$ , and  $\text{Yb}_2\text{O}_3$ ) with a corresponding mole ratio. The materials were mixed homogeneously by an agate mortar for 30 min, placed in a crucible with a lid, and then sintered at 1500 °C for 4 h to obtain the samples.

**Spectroscopy Measurements.** Powder X-ray diffraction (XRD) data were collected using  $\text{Cu K}\alpha$  radiation ( $\lambda = 1.54056 \text{ \AA}$ ) on a Bruker D8 Advance diffractometer equipped with a linear position-sensitive detector (PSD-50m, M. Braun), operating at 40 kV and 40 mA with a step size of  $0.01^\circ (2\theta)$  in the range of  $10-80^\circ$ . The steady-state excitation and emission spectra were measured using an FLS920 spectrometer (Edinburgh Instruments, Livingston, U.K.). A 980 nm LD was used to excite the  $\text{Yb}^{3+}:^2\text{F}_{5/2}$  level, and an 808 nm LD was used for  $\text{Tm}^{3+}:^3\text{H}_4$  solid-state excitation. In energy-level lifetime measurements, an OPO was used as an excitation source, and the signals were detected using a Tektronix digital oscilloscope (TDS 3052). The lifetimes were calculated as integration of the area under the corresponding decay curves with normalized initial intensity. All of the measurements above were performed at room temperature.

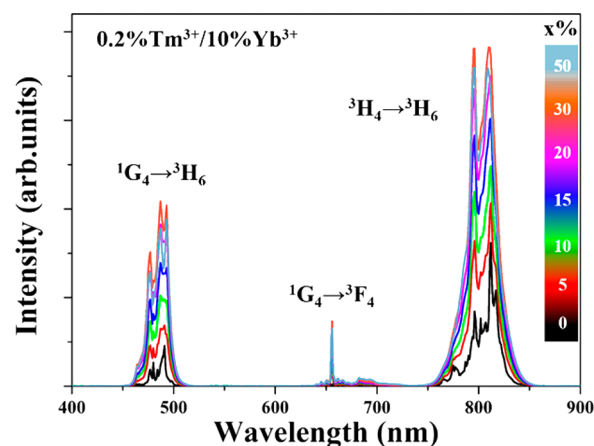
## RESULTS AND DISCUSSION

Figure 1 shows the XRD patterns of 0.2%  $\text{Tm}_2\text{O}_3$ –10%  $\text{Yb}_2\text{O}_3$ –(89.8 –  $x$ )%  $\text{Lu}_2\text{O}_3$ – $x$ %  $\text{ZrO}_2$  powder samples with  $x = 0, 5, 10, 15, 20, 30$ , and 50. The main diffraction peaks are exclusively displayed in order to clearly present their positions and shapes. The XRD patterns can be well indexed to cubic  $\text{Lu}_2\text{O}_3$  (PDF 12-0728) for  $\text{ZrO}_2$ -free and  $\text{Lu}_4\text{Zr}_3\text{O}_{12}$  (PDF 77-0738) for 50%  $\text{ZrO}_2$ . In Figure 1, the asterisk symbol represents for the  $\text{Lu}_2\text{O}_3$  phase and the triangle symbol indicates the  $\text{Lu}_4\text{Zr}_3\text{O}_{12}$  phase. The evolution of the two phases can be clearly shown in the enlarged main peak for (222) in the right column of Figure 1. The addition of  $\text{ZrO}_2$  leads to the main diffraction peak of  $\text{Lu}_2\text{O}_3$  shifting toward high angles until the  $\text{ZrO}_2$  content reached up to 30%, which indicates the substitution of  $\text{Lu}^{3+}$  ions (with a radius of 0.977 Å) by  $\text{Zr}^{4+}$  ions (0.720 Å), with the smaller ionic radius causing the host lattice to shrink. One observes that a shoulder appears at the high-angle side of the main peak for a  $\text{ZrO}_2$  content of  $\geq 15\%$  and the shoulder grows with increasing  $\text{ZrO}_2$  content. At 50%  $\text{ZrO}_2$ , the shoulder becomes a main peak, which is assigned to the  $\text{Lu}_4\text{Zr}_3\text{O}_{12}$  phase.



**Figure 1.** XRD patterns of 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}/(89.8 - x)\% \text{Lu}_2\text{O}_3/x\% \text{ZrO}_2$  ( $x = 0-50$ ) and the standard XRD data of  $\text{Lu}_2\text{O}_3$  (JCPDS 12-0728) and  $\text{Lu}_4\text{Zr}_3\text{O}_{12}$  (JCPDS 77-0738). The right column is the partially enlarged detail of the (222) peak.

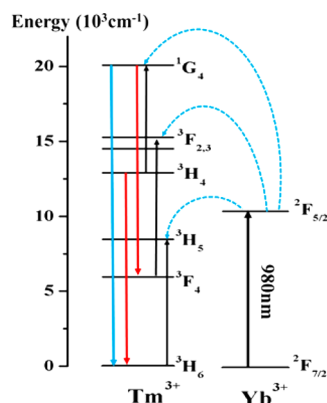
Figure 2 exhibits the UC emission spectra of the samples upon 980 nm LD excitation. Three distinct UC emission bands



**Figure 2.** UCL spectra of 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}/(89.8 - x)\% \text{Lu}_2\text{O}_3/x\% \text{ZrO}_2$  ( $x = 0, 5, 10, 15, 20, 30$ , and 50) under 980 nm excitation at room temperature.

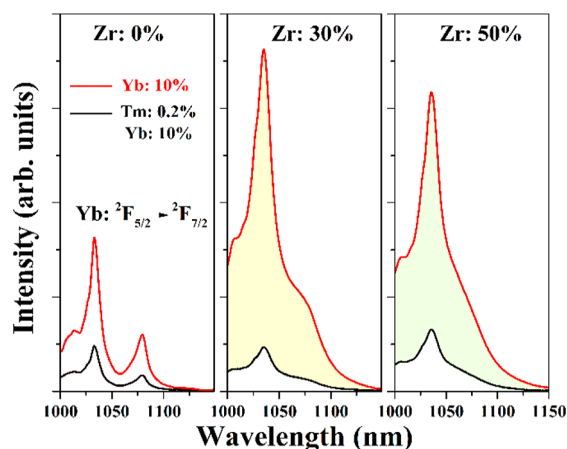
in the range of 400–900 nm are observed. The blue emission peaking at around 490 nm and the red emission peaking at 655 nm are assigned to the transitions of  $\text{Tm}^{3+}$  from  $^1\text{G}_4$  to  $^3\text{H}_6$  and  $^3\text{F}_4$ , respectively. The strong NIR band around 800 nm originated from the  $\text{Tm}^{3+}:^3\text{H}_4 \rightarrow ^3\text{H}_6$  transition. It is observed that the UC emissions have pronounced enhancement with increasing composition of  $\text{ZrO}_2$  up to 30%, above which the UCL starts to decrease. Compared with  $\text{Lu}_2\text{O}_3$ , the blue and NIR UC emissions for 30%  $\text{ZrO}_2$  are enhanced by factors of 4.6 and 2.4, respectively. Energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$  is studied based on the energy transfer of the UC model for the  $\text{Tm}^{3+}/\text{Yb}^{3+}$  system, as shown in Figure 3.  $\text{Yb}^{3+}$  acts as an efficient sensitizer, which has a large absorption cross section at 980 nm and a long  $^2\text{F}_{5/2}$  state lifetime.<sup>29</sup> Under 980 nm excitation, the  $^2\text{F}_{5/2}$  level of  $\text{Yb}^{3+}$  is populated, and subsequently the excited  $\text{Yb}^{3+}$  carries out step energy transfer to  $\text{Tm}^{3+}$  to produce UCL.

In order to understand the origin of the UC enhancement, the effectiveness of  $\text{Yb}^{3+}$  excitation by a 980 nm LD and the efficiency of energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$  are studied for



**Figure 3.** Schematic energy-level diagram and mechanism of the UC process in a  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped system following excitation with 980 nm.

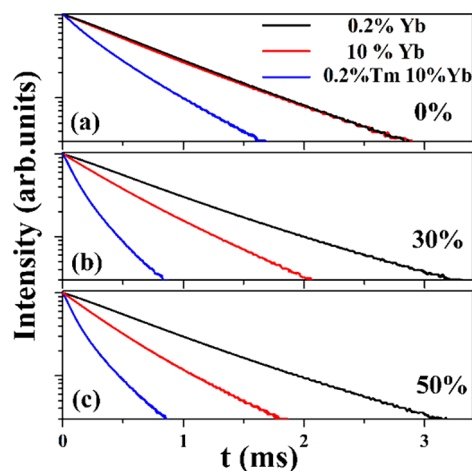
different  $\text{ZrO}_2$  contents. Figure 4 presents the emission spectra of 10%  $\text{Yb}^{3+}$  singly doped and 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}$  codoped



**Figure 4.** Comparison of the IR emission spectra of  $\text{Yb}^{3+}$  singly doped and  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped  $\text{Lu}_2\text{O}_3\text{-ZrO}_2$  ( $x = 0, 30$ , and  $50$ ) samples excited by a 980 nm LD. The red line stands for single doping with 10%  $\text{Yb}^{3+}$ , and the black line represents 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}$  codoped samples.

$\text{Lu}_2\text{O}_3\text{-ZrO}_2$  solid solutions for different  $\text{ZrO}_2$  contents ( $x = 0, 30$ , and  $50$ ) under 980 nm excitation. In the  $\text{Yb}^{3+}$  singly doped situation, the strong peaks of three samples at 1035 nm are assigned to the  $\text{Yb}^{3+}: 2\text{F}_{5/2} \rightarrow 2\text{F}_{7/2}$  transition. It is clearly displayed in Figure 4 that the emission band of  $\text{Yb}^{3+}$  is strikingly broadened with the addition of  $\text{ZrO}_2$  because of the increase of disordered structure in a  $\text{Lu}_2\text{O}_3\text{-ZrO}_2$  solid solution. The integrated intensity of 10%  $\text{Yb}^{3+}$  emission for 30%  $\text{ZrO}_2$  is the strongest of the three samples. When 0.2%  $\text{Tm}^{3+}$  is codoped, the  $\text{Yb}^{3+}$  emission intensities fall sharply in all samples because of effective energy transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$ . It is clear that the fraction of the decrement becomes larger with increasing  $\text{ZrO}_2$  concentration, indicating more efficient energy transfer in this system. The efficient energy transfer is also supported by the lifetime shortening of the  $\text{Yb}^{3+}$  emission in the presence of  $\text{Tm}^{3+}$ .

It is shown in Figure 5 that the decay curves of  $\text{Yb}^{3+}$  emission for 0.2%  $\text{Yb}^{3+}$  singly doped, 10%  $\text{Yb}^{3+}$  singly doped, and 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}$  codoped samples with  $\text{ZrO}_2$  free (a), 30%  $\text{ZrO}_2$  (b), and 50%  $\text{ZrO}_2$  (c). From Figure 5, the lifetimes of



**Figure 5.** Decay curves of the  $\text{Yb}^{3+}$  emission in  $\text{Lu}_2\text{O}_3\text{-ZrO}_2$  doped with 0.2%  $\text{Yb}$  or 10%  $\text{Yb}$  or codoped 0.2%  $\text{Tm}$  and 10%  $\text{Yb}$  for different  $\text{ZrO}_2$  contents after 980 nm pulse excitation.

0.2%  $\text{Yb}^{3+}$  lowly doped samples with different  $\text{ZrO}_2$  contents are close to each other, indicating their comparable intrinsic lifetimes ( $\tau_i$ ) or radiative lifetimes. The emission lifetimes of  $\text{Yb}^{3+}$  for singly doped samples, 0.2%  $\text{Yb}^{3+}$  ( $\tau_i$ ), 10%  $\text{Yb}^{3+}$  ( $\tau_0$ ), and 0.2%  $\text{Tm}^{3+}/10\% \text{Yb}^{3+}$  codoped samples ( $\tau$ ), are calculated from the area under the corresponding decay curves with a normalized initial intensity, as listed in Table 1. With an

**Table 1.** Emission Lifetimes, Emission Intensities ( $I$ ), Emission Efficiencies ( $\eta_E$ ), Absorbance ( $\eta_{\text{Abs}}$ ) of  $\text{Yb}^{3+}$ , and Efficiencies ( $\eta_{\text{ET}}$ ) of Energy Transfer from  $\text{Yb}^{3+}$  to  $\text{Tm}^{3+}$  in a  $\text{Lu}_2\text{O}_3\text{-ZrO}_2$  System

$\text{ZrO}_2$ content (%)	0.2% $\text{Yb}^{3+}$		10% $\text{Yb}^{3+}$			0.2% $\text{Tm}^{3+}/10\% \text{Yb}^{3+}$	
	$\tau_i$ ( $\mu\text{s}$ )	$I$	$\tau_0$ ( $\mu\text{s}$ )	$\eta_E$	$\eta_{\text{Abs}}$	$\tau$ ( $\mu\text{s}$ )	$\eta_{\text{ET}}$
0	801	0.45	772	0.96	0.31	409	0.47
30	860	1	534	0.62	1	193	0.64
50	846	0.88	458	0.54	1.01	190	0.59

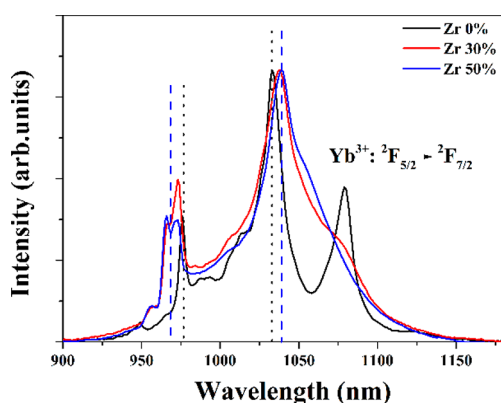
increase of the singly doped  $\text{Yb}^{3+}$  concentration from 0.2% to 10%, the lifetime is slightly shortened for the  $\text{ZrO}_2$ -free sample, whereas it is markedly shortened for 30%  $\text{ZrO}_2$  and 50%  $\text{ZrO}_2$ . The less changed lifetime for the  $\text{ZrO}_2$ -free sample is attributed to ineffective energy migration among  $\text{Yb}^{3+}$  ions due to nearly no distortion in the  $\text{Lu}_2\text{O}_3$  crystal structure when doped with  $\text{Yb}^{3+}$ , which has almost the same radius as  $\text{Lu}^{3+}$ . The lifetime shortening in the presence of  $\text{ZrO}_2$  is originated from effective energy migration among  $\text{Yb}^{3+}$  ions. Energy migration is caused by an increase of spectral overlap between  $\text{Yb}^{3+}$  ions under the condition of spectrally inhomogeneous broadening in the disordered crystals. The lifetime shortening implies a reduction of the emission efficiency, which can be calculated by  $\eta_E = \tau_0/\tau_i$ . However, the samples with 30% and 50%  $\text{ZrO}_2$  present stronger  $\text{Yb}^{3+}$  emissions than  $\text{Lu}_2\text{O}_3$ . It is known that the fluorescence intensity is proportional to the product of the absorbance and emission efficiency. Therefore, it indicates that the absorption of 980 nm excitation by  $\text{Yb}^{3+}$  in the presence of  $\text{ZrO}_2$  is enhanced. This result is explained by the fact that the 980 nm laser hardly well matches the sharp  $\text{Yb}^{3+}$  absorption line in  $\text{Lu}_2\text{O}_3$  but easily falls within the broadened  $\text{Yb}^{3+}$  absorption band in the disordered  $\text{Lu}_2\text{O}_3\text{-ZrO}_2$  crystals. In



addition, if the emission intensity and absorbance of the 30% ZrO<sub>2</sub> sample are defined as 1, the absorbance of the series could be evaluated. The Yb<sup>3+</sup> emission intensity for 50% ZrO<sub>2</sub> is 88% that for 30% ZrO<sub>2</sub>, while the emission efficiency of Yb<sup>3+</sup> for 50% ZrO<sub>2</sub> (54%) is 87% that for 30% ZrO<sub>2</sub> (62%). This implies that the absorbance of 980 nm excitation for 50% ZrO<sub>2</sub> is 88/87% that for 30% ZrO<sub>2</sub>. Hence, it can be concluded that the absorbance of 980 nm excitation by Yb<sup>3+</sup> ions improves with increasing ZrO<sub>2</sub> content in a Lu<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> solid solution. Upon codoping with Tm<sup>3+</sup> and Yb<sup>3+</sup>, the shortened lifetimes are mainly attributed to the first step energy transfer from Yb<sup>3+</sup>:<sup>2</sup>F<sub>5/2</sub> to Tm<sup>3+</sup>:<sup>3</sup>F<sub>4</sub> under Yb<sup>3+</sup>:<sup>2</sup>F<sub>5/2</sub> direct excitation at 980 nm. The efficiency ( $\eta_{ET}$ ) of energy transfer from Yb<sup>3+</sup> to Tm<sup>3+</sup> is calculated by  $\eta_{ET} = 1 - \tau/\tau_0$ . The calculated transfer efficiency for 30% ZrO<sub>2</sub> is 0.64, which is the highest one among the three samples. This result is in accordance with the emission spectra of Yb<sup>3+</sup>, shown in Figure 4.

All of the results indicated above can be well attributed to the effect of spectrally inhomogeneous broadening of the dopants in a Lu<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> solid solution. The inhomogeneous broadening can not only make the absorption band of Yb<sup>3+</sup> match the 980 nm laser line better but also increase the spectral overlap between dopants for efficient energy transfer. The emission lifetime shortening of Yb<sup>3+</sup> with increasing ZrO<sub>2</sub> content for Yb<sup>3+</sup> single doping can be explained as continuously enhanced energy transfer between Yb<sup>3+</sup> ions due to a continuous increase of the structure disorder with increasing ZrO<sub>2</sub> content up to 50%. The enhanced energy transfer between Yb<sup>3+</sup> ions thus speeds up excitation energy loss to quenchers. It is then understandable why the most efficient energy transfer from Yb<sup>3+</sup> to Tm<sup>3+</sup> occurs at 30% ZrO<sub>2</sub> rather than 50% ZrO<sub>2</sub>, for which efficient energy transfer between Yb<sup>3+</sup> ions strongly suppresses energy transfer to Tm<sup>3+</sup>.

The disordered structures can be further proven by the observed emission band broadening of Yb<sup>3+</sup> with the addition of ZrO<sub>2</sub> in a Lu<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> solid solution, as shown in Figure 6.

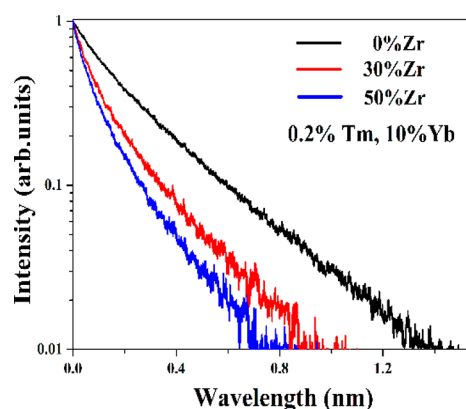


**Figure 6.** IR emission spectra of 0.2% Tm<sub>2</sub>O<sub>3</sub>/10% Yb<sub>2</sub>O<sub>3</sub>/(89.8 – *x*)% Lu<sub>2</sub>O<sub>3</sub>/*x*% ZrO<sub>2</sub> (*x* = 0, 30, and 50) samples excited by a 808 nm LD. The spectra are normalized with a maximum emission intensity at around 1040 nm.

The emission shape of Yb<sup>3+</sup> is measured upon Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> excitation at 808 nm because there exists energy back transfer from Tm<sup>3+</sup> in the <sup>3</sup>H<sub>4</sub> state to Yb<sup>3+</sup> in the ground state<sup>30</sup> to get rid of the influence from a 980 nm laser. The spectra are normalized with the maximum emission intensity to clarify the spectral shifts. With increasing the ZrO<sub>2</sub> content, the shift is as large as 15 nm, depicted by the dashed and dotted lines in

Figure 6. We also find that the Stark energy splitting of the Yb<sup>3+</sup> emission is growing larger, followed by enhanced inhomogeneous broadening. The enhanced Stark energy splitting is attributed to the fact that introducing ZrO<sub>2</sub> shortens the average bond length and thus strengthens the crystal field acting on the Yb<sup>3+</sup> ions. As to the improved inhomogeneous broadening of Yb<sup>3+</sup> emission, this is due to the enhanced disordered structure in a Lu<sub>2</sub>O<sub>3</sub>–ZrO<sub>2</sub> solid solution.<sup>31</sup> The broadening of the Yb<sup>3+</sup> emission is beneficial to the spectral overlap between Yb<sup>3+</sup>:<sup>2</sup>F<sub>5/2</sub>–<sup>2</sup>F<sub>7/2</sub> emission and Tm<sup>3+</sup>:<sup>3</sup>H<sub>6</sub>–<sup>3</sup>H<sub>5</sub> absorption, thus elevating the efficiency of energy transfer from Yb<sup>3+</sup> to Tm<sup>3+</sup> in the ground state. One may wonder why the transfer efficiency for 50% ZrO<sub>2</sub> is lower than that for 30% ZrO<sub>2</sub>. This may be related to their ability of energy back transfer.

With regard to the decrease of UCL for 50% ZrO<sub>2</sub> compared to 30% ZrO<sub>2</sub>, deexcitation of Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> due to energy back transfer to Yb<sup>3+</sup> is also examined. Figure 7 shows the

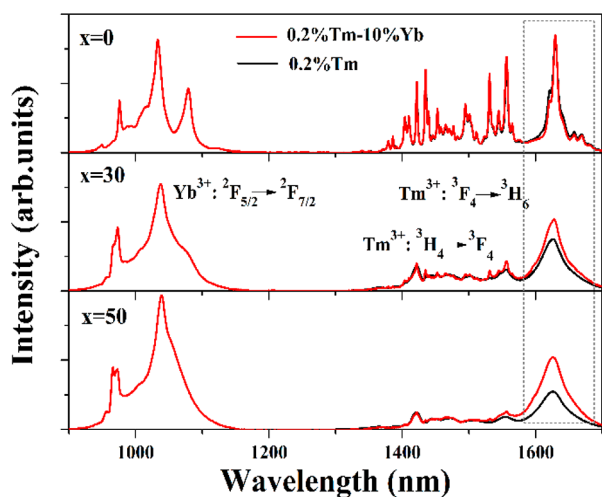


**Figure 7.** Fluorescence decay curves when monitoring the Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> state in 0.2% Tm<sub>2</sub>O<sub>3</sub>/10% Yb<sub>2</sub>O<sub>3</sub>/(89.8 – *x*)% Lu<sub>2</sub>O<sub>3</sub>/*x*% ZrO<sub>2</sub> samples (*x* = 0, 30, and 50).

fluorescence decay curves of the Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> level for different ZrO<sub>2</sub> contents after pulse excitation of the Tm<sup>3+</sup>:<sup>3</sup>F<sub>2,3</sub> state at 690 nm. This shows that the <sup>3</sup>H<sub>4</sub> lifetimes are continuously shortened with increasing ZrO<sub>2</sub> content, denoting a continuously enhanced energy back transfer.

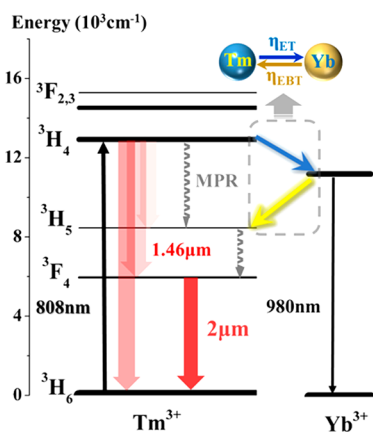
Therefore, it is considered that although the Stark energy splitting of the 50% ZrO<sub>2</sub> sample is larger than that of the 30% ZrO<sub>2</sub> one, more effective energy back transfer from Tm<sup>3+</sup> to Yb<sup>3+</sup> may offset the effects of Yb<sup>3+</sup> → Tm<sup>3+</sup> energy transfer and decrease the UCL intensity. Efficient energy back transfer for 50% ZrO<sub>2</sub> is also observed in photoluminescence spectra.

Figure 8 shows NIR emission spectra of Tm<sup>3+</sup> singly doped and Tm<sup>3+</sup>/Yb<sup>3+</sup> codoped samples upon Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> excitation at 808 nm. The group of emission lines at around 1400 nm are attributed to a Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> → <sup>3</sup>F<sub>4</sub> transition. The band peaking at 1620 nm is a small amount of the Tm<sup>3+</sup>:<sup>3</sup>F<sub>4</sub> → <sup>3</sup>H<sub>6</sub> emission, which achieves the strongest peak at around 2 μm. The wavelength beyond 1620 nm is undetected because of the cutoff wavelength at 1650 nm of the InGaAs detector used in this work. The red lines represent Tm<sup>3+</sup> and Yb<sup>3+</sup> codoped samples, and the black lines stand for Tm<sup>3+</sup> singly doped ones. Yb<sup>3+</sup>:<sup>2</sup>F<sub>5/2</sub> → <sup>2</sup>F<sub>7/2</sub> emission in the range of 900–1100 nm is a result of energy back transfer from Tm<sup>3+</sup>:<sup>3</sup>H<sub>4</sub> to Yb<sup>3+</sup>:<sup>2</sup>F<sub>5/2</sub>. For a clear comparison of the emission spectra, the codoped and singly doped samples with the same ZrO<sub>2</sub> content are plotted together, where the <sup>3</sup>H<sub>4</sub> → <sup>3</sup>F<sub>4</sub> emission intensity for the singly



**Figure 8.** Comparison of IR emission spectra in 0.2%  $\text{Tm}_2\text{O}_3$ /10%  $\text{Yb}_2\text{O}_3$ /(89.8 -  $x$ )%  $\text{Lu}_2\text{O}_3$ / $x$ %  $\text{ZrO}_2$  and 0.2%  $\text{Tm}_2\text{O}_3$ /(99.8 -  $x$ )%  $\text{Lu}_2\text{O}_3$ / $x$ %  $\text{ZrO}_2$  ( $x = 0, 30$ , and  $50$ ) samples under 808 nm excitation.

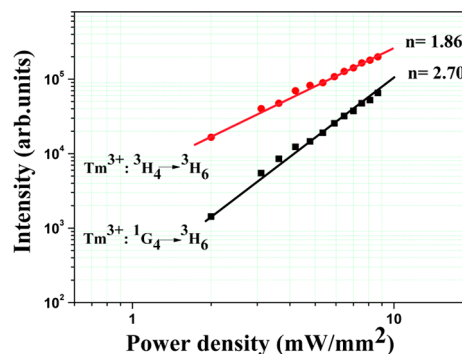
doped sample is scaled to that for the codoped sample. The appearance of  $\text{Yb}^{3+}$  emission upon  $\text{Tm}^{3+}:\text{H}_4$  excitation can directly prove energy transfer from  $\text{Tm}^{3+}:\text{H}_4$  to  $\text{Yb}^{3+}:\text{F}_{5/2}$ . It is noticed that there is a decrease in the 1400 nm emission band when the  $\text{ZrO}_2$  content is increased. Besides that, the  $^3\text{F}_4 \rightarrow ^3\text{H}_6$  emission intensity becomes much stronger than the singly doped one with increasing  $\text{ZrO}_2$  content, especially for the 50%  $\text{ZrO}_2$  sample. These phenomena can be well explained as follows:<sup>32</sup> Under 808 nm excitation, the  $^3\text{H}_4$  excited state of  $\text{Tm}^{3+}$  is populated. A  $\text{Tm}^{3+}$  ion in the  $^3\text{H}_4$  excited state tends to transfer energy to a nearby  $\text{Yb}^{3+}$  ion, leading to a decrease of the  $\text{Tm}^{3+}$  emission from  $^3\text{H}_4$  and generation of the  $\text{Yb}^{3+}$  emission. Subsequently, the excited  $\text{Yb}^{3+}$  transfers its energy to  $\text{Tm}^{3+}$  again to promote population of the  $\text{Tm}^{3+}:\text{F}_4$  state, thus enhancing the  $\text{Tm}^{3+}:\text{F}_4 \rightarrow ^3\text{H}_6$  emission. Besides this process, this  $^3\text{H}_4$  population could also relax to the  $^3\text{F}_4$  level by  $^3\text{H}_4 \rightarrow ^3\text{F}_4$ ,  $^3\text{H}_5$  radiative transitions and cascade MPR through the  $^3\text{H}_5$  state. When energy transfer from  $\text{Tm}^{3+}$  to  $\text{Yb}^{3+}$  is dominant, the  $^3\text{H}_4 \rightarrow ^3\text{F}_4$  emission will accordingly decrease. In this situation, the  $^3\text{H}_4 \rightarrow ^3\text{H}_6$  emission is assigned to the energy back transfer. This process is presented in Figure 9. Therefore, the smaller ratio of  $\text{Tm}^{3+}:\text{H}_4 \rightarrow ^3\text{F}_4$  to the  $^3\text{F}_4 \rightarrow ^3\text{H}_6$  emission is direct evidence of increasing energy back transfer. In



**Figure 9.** Schematic energy level diagram and energy back transfer process in  $\text{Lu}_2\text{O}_3$ - $\text{ZrO}_2$  disordered crystals.

addition, when the increments of the  $\text{Tm}^{3+}:\text{F}_4 \rightarrow ^3\text{H}_6$  emission for different  $\text{ZrO}_2$  contents are compared, it could also be demonstrated that the sample with 50%  $\text{ZrO}_2$  has the most efficient energy back transfer, resulting in a decrease of the UC emission at 800 nm. This conclusion is in accordance with the  $^3\text{H}_4$  decay features shown in Figure 7.

The dependence of the UC emission intensity on the pump power is measured to better illustrate the populated states for visible and NIR UC emissions. As to an unsaturated UC process, the number of photons ( $n$ ) required to populate the corresponding states could be determined from the slope in a double-logarithmic diagram, as shown in Figure 10. According



**Figure 10.** Pump power dependence of the emission intensity from the  $^3\text{H}_4$  and  $^1\text{G}_4$  excited states in a  $\text{Tm}^{3+}/\text{Yb}^{3+}$  codoped 30%  $\text{ZrO}_2$  sample under 980 nm LD excitation.

to  $I \propto P^n$ , where the UCL intensity ( $I$ ) is proportional to the  $n$ th power ( $P$ ) under low excitation.<sup>33</sup> By variation of the incident pump power of a 980 nm LD, the NIR and visible emission intensities in 0.2%  $\text{Tm}_2\text{O}_3$ /10%  $\text{Yb}_2\text{O}_3$ /59.8%  $\text{Lu}_2\text{O}_3$ /30%  $\text{ZrO}_2$  have been fit with two straight lines with slopes of 1.86 and 2.70, respectively. This confirms that the NIR emission from the  $\text{Tm}^{3+}:\text{H}_4 \rightarrow ^3\text{H}_6$  transition is a two-photon process and the blue emission ascribed to the  $\text{Tm}^{3+}:\text{G}_4 \rightarrow ^3\text{H}_6$  transition required three photons to be achieved.

## CONCLUSIONS

In summary, a  $\text{Lu}_2\text{O}_3$ - $\text{ZrO}_2$  UC system has been successfully achieved, and the UC properties have been first investigated. Upon codoping with  $\text{Tm}^{3+}$  and  $\text{Yb}^{3+}$ , upon 980 nm excitation, the visible and NIR UC emission intensities are significantly enhanced by the addition of  $\text{ZrO}_2$ . The blue and NIR luminescences of a 30%  $\text{ZrO}_2$  sample are improved by 4.6- and 2.4-fold compared with  $\text{Lu}_2\text{O}_3$ . This enhancement should be attributed to the inhomogeneous broadening of the dopants in this disordered solid solution, which is conducive to well fitting with the excitation laser line and promoting the energy-transfer process. Meanwhile, the increasing energy back transfer that leads to the decline in UCL of a 50%  $\text{ZrO}_2$  sample has also been discussed. These investigations provide the possibility of disordered crystals being utilized as a UC system, which may be beneficial to achieving practical applications.

## AUTHOR INFORMATION

### Corresponding Authors

\*E-mail: haozd@ciomp.ac.cn. Tel./Fax: +86-431-8617-6317.

\*E-mail: zhangjh@ciomp.ac.cn. Tel./Fax: +86-431-8617-6317.

### ORCID

Wen Liu: 0000-0001-5792-6830

## Notes

The authors declare no competing financial interest.

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