Separately doped upconversion-C60 nanoplatform for NIR imaging-guided photodynamic therapy of cancer cells†

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A highly efficient upconversion-C60 nanoplatform was demonstrated for NIR imaging-guided photodynamic therapy of cancer.

Lanthanide ion (Ln3+, such as Er3+, Tm3+, Ho3+) doped upconversion nanoparticles (UCNPs) are emerging as a new generation of multimodal bioprobes, and have attracted a large interest for a variety of biological applications. The developed luminescent UCNPs based photosensitizing nanoplatforms, which can be excited with NIR light (~980 nm) falling in the biological window of tissues and generating multicolour emission in the visible spectral region, have made image-guided photodynamic therapy (PDT) possible. O2 is generated in these cases through photosensitizers (PSs) that are activated by energy transfer from UCNPs upon NIR excitation. However, most UCNP-based PDT applications have been limited by the relatively low O2 production yield. Several strategies have been employed to improve the performance of such nanoplatforms, e.g. developing a covalent strategy to shorten the energy transfer distance, using a combination of two PSs for optimally utilizing the upconversion luminescence. However, the majority of the currently used PSs are aromatic molecules that have negative side effects and do not have a very high O2 yield. Searching for more efficient therapeutic agents has led numerous groups to investigate the potential of fullerene derivatives as novel PDT drugs. Such molecules are particularly attractive because of their broad absorption spectra, lack of dark toxicity and high quantum yield to form reactive species (100% based on generation of O2). In this work, we present a NIR-triggered NIR imaging-guided PDT nanoplatform based on multiplexed Förster resonance energy transfer (FRET) in which multicolour UCNPs are used as donors and monomalonial fullerene (C60MA) as an acceptor. They are an ideal donor–acceptor pair. Upon 980 nm CW light excitation, upconversion luminescence (UCL) of a NaYF4:Yb3+,Er3+/NaYF4:Yb3+,Tm3+ separately doped nanostructure appears simultaneously around 450, 475, 540, 650 and 808 nm. All of these bands, except the 808 nm band, contribute to the transfer of excitation energy to C60MA due to the broad absorption band of the latter and thus trigger PDT. At the same time, the 808 nm emission can be used for high-contrast NIR luminescence imaging as illustrated in Fig. 1. In vitro experiments on cancer cells verify the efficient photodynamic effects of the nanoplatform. As the first demonstration of a multifunctional UCNP–fullerene nanoplatform, this result offers a new possibility in exploring highly stable and efficient nanoplatforms suitable for NIR imaging-guided therapy of cancers.

In order to generate strong multicolour upconversion luminescence, oleylamine-coated NaYF4:Yb3+,Er3+/NaYF4:Yb3+,Tm3+ separately doped UCNPs were synthesized following a previously reported protocol (see ESI†). The composition, phase purity and morphology of these nanoparticles were examined by TEM and XRD as shown in Fig. 2a (see also Fig. S1–S3, ESI†). From the TEM images it could be concluded that the UCNPs have a good monodispersity with an average diameter of about 45 nm. We employed a strategy of separately doping core and shell with Er3+ and Tm3+ instead of homogeneous co-doping to achieve strong multicolour upconversion luminescence (Fig. S4, ESI†). Hydrophilic NH2-functionalized UCNPs were prepared following a ligand exchange process using poly(allylamine) as a surface-coating agent. Phase transfer caused negligible effect on the UCL spectrum (Fig. S5, ESI†). To optimize the energy transfer distance and ensure that the majority of C60MA
molecules were firmly linked to UCNPs, a covalent conjugation strategy was followed that involved a crosslinking reaction between the amino group of the UCNPs and the carboxyl group of C₆₀MA. For the sake of solving the low dispersity of fullerenes in biologically relevant media, PEG-succinimidyl carbonate (PEG-SC), which has a good compatibility with biological systems and can reduce the undesired toxicity of nanoparticles, was used to stabilize the nanocomposites in various biological solvents (Fig. 2c). The conjugation with C₆₀MA did not alter the size and morphology of the UCNPs (Fig. 2b). Fig. 2d shows that the effective hydrodynamic diameter distributions of the UCNPs before and after conjugation were centered at 46 nm and 64 nm, respectively, indicating successful functionalization. Covalent coupling between UCNPs and C₆₀MA was confirmed from FTIR absorption spectra shown in Fig. S6 (ESI†). After conjugation with UCNPs, the peak at 1717 cm⁻¹ (C=O stretching mode of the carboxyl group on C₆₀MA) disappeared and two new peaks associated with the C=O stretching and N-H bending modes of a secondary amide appeared at 1648 and 1556 cm⁻¹, respectively. The observation of a dark brown precipitate and a nearly colourless supernatant after centrifugation—while no precipitate or color change was noticed in the bare C₆₀MA sample—further confirmed the bonding of C₆₀MA (Fig. S7, ESI†). The C₆₀MA loading capacity of the nanocomposites or 980 nm light illumination, the FCLA luminescence showed a negligible change with time (Fig. S10, ESI†). When illuminated with 980 nm light, the fluorescence of FCLA in UCNP–C₆₀MA solutions was boosted, indicating efficient generation of ¹O₂. To further illustrate that our nanoplatform is indeed superior, three other energy transfer models, i.e., (i) UCNPs (Yb, Er)–C₆₀MA, (ii) UCNPs (Yb, Tm)–C₆₀MA and (iii) UCNPs (Yb, Er, Tm)–rose bengal were constructed. Fluorescence spectra of FCLA, recorded as a function of exposure time (Fig. 3a (red) and the absorption of the acceptor C₆₀MA (black). (b) The increase in luminescence intensity of FCLA at 524 nm as a function of the exposure time under 980 nm irradiation.

45% at 650 nm. We attribute the high energy transfer efficiency partly to the robust covalent binding between C₆₀MA and UCNPs. The energy transfer process was further verified by the temporal behavior of UCL of both UCNPs and UCNP–C₆₀MA composites recorded at 450, 475, 540 and 650 nm (Fig. S9, ESI†). The significant shortening of the upconversion luminescence kinetics that was observed in these experiments is in line with the strong energy transfer concluded from the analysis of the steady-state UC luminescence quenching.

Another aspect entering the design of our UCNP–C₆₀MA nanoplatform is the high ¹O₂ production yield of C₆₀ derivatives, which is even higher than those of traditional photosensitizers such as rose bengal, methylene blue and eosin yellow. In order to assess the capability of our UCNP–C₆₀MA nanoplatform to generate ¹O₂, we employed the chemiluminescence of a fluoresceinyl Cypridina luciferin analogue (FCLA). FCLA can be oxidized by ¹O₂, resulting in an increase of its fluorescence at around 524 nm, and can thus be used as a ¹O₂ detector. Fig. S11a (ESI†) illustrates the FCLA fluorescence intensity variation in the presence of UCNP–C₆₀MA nanocomposites. Without the nanocomposites or 980 nm light illumination, the FCLA luminescence showed a negligible change with time (Fig. S10, ESI†). When illuminated with 980 nm light, the fluorescence of FCLA in UCNP–C₆₀MA solutions was boosted, indicating efficient generation of ¹O₂. To further illustrate that our nanoplatform is indeed superior, three other energy transfer models, i.e., (i) UCNPs (Yb, Er)–C₆₀MA, (ii) UCNPs (Yb, Tm)–C₆₀MA and (iii) UCNPs (Yb, Er, Tm)–rose bengal were constructed. Fluorescence spectra of FCLA, recorded as a function of exposure time under 980 nm irradiation are shown in Fig. S11 (ESI†) for these three samples and the UCNP (Yb, Er, Tm)–C₆₀MA samples. The corresponding fluorescence intensity changes are depicted in Fig. 3b. Since the slopes of the curves represent the efficiency of singlet oxygen generation, it is clear that the designed UCNP (Yb, Er, Tm)–C₆₀MA nanocomposites are indeed a highly efficient nanoplatform for ¹O₂ generation and potentially for NIR light triggered photodynamic therapy of cancer.

We studied the cellular uptake of UCNP–C₆₀MA by HeLa cells. To this purpose, the targeting molecule, folic acid (FA), was covalently linked to UCNP–C₆₀MA. Fig. 4a shows the target staining of the UCNP–C₆₀MA/FA nanoplatform in HeLa cells and the control result using human alveolar adenocarcinoma (A549) cells. The upconversion luminescence was collected at
808 nm, a wavelength that lies in the biological window (700–1100 nm) and enables high-contrast optical imaging.\textsuperscript{16} The nanocomposites were mainly located within the cells (Fig. 4a, left), illustrating the specific targeting of the nanoplatform. The absence of autofluorescence confirmed that the UCNP-C\textsubscript{60}MA/FA platform can be used for high-contrast luminescence imaging of cells \textit{in vitro}. When the folate receptors on the cancer cell membranes were saturated by free folic acid before incubating with the nanoplatform, just a few UCNP-C\textsubscript{60}MA/FA nanoconjugates were stained in the cancer cells (Fig. 4a, middle), which might be due to the nonspecific adsorption of UCNs. Furthermore, there was no significant morphology change of the cancer cells in the bright field image (Fig. 4a, top), suggesting a good biocompatibility of the nanoplatform. To further verify the specificity of the UCNP-C\textsubscript{60}MA/FA composites, A549 cells, which are poor in expressing the folate receptor, were used for a negative control (Fig. 4a, right). In this case only a few UCNP\textsubscript{60}MA were observed in the cells.

The photodynamic capabilities of the UCNP-C\textsubscript{60}MA nanoplatform were studied by incubating HeLa cells with UCNP-C\textsubscript{60}MA at different concentrations. The cell viabilities, as determined by 3-(4,5-dimethythiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, were used for a negative control (Fig. 4a, right). In this case only a few UCNPs were observed in the cells.

![Fig. 4](image) (a) Specificity of the UCNP-C\textsubscript{60}MA nanoconjugates. HeLa cells were cultured in folate-free medium (left, positive) and in folate-supplemented medium (middle, negative). A negative control was also performed with A549 cells (right). Scale bar, 50 μm. (b) Cell viability of HeLa cells treated with UCNP-C\textsubscript{60}MA of different concentration with or without 980 nm exposure. (c and d) Photographs of purple formazan dissolved in DMSO, indicating the viability of cells exposed to NIR light at a relatively low intensity of 1.37 W cm\textsuperscript{-2}, the cells declined rapidly with an increase in the concentration of UCNP-C\textsubscript{60}MA nanocomposites (Fig. 4b and d), indicating the efficiency in killing cancer cells \textit{via} PDT. To further prove the PDT efficiency of the UCNP-C\textsubscript{60}MA nanoplatform, the photodynamic capabilities of the other three energy transfer models were also tested for comparison (Fig. S13, ESI\textsuperscript{†}). It can be concluded that the reduction in viability was the most in cells treated with UCNP (Yb, Er, Tm)-C\textsubscript{60}MA, emphasizing the superiority of the designed photosensitizing nanoplatform.

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Notes and references