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# Visible quantum cutting in GdF<sub>3</sub>:Eu<sup>3+</sup> nanocrystals via downconversion

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#### **Abstract**

 ${\rm GdF_3:Eu^{3+}}$  nanocrystals (NCs) and nanorods were synthesized by a microemulsion-mediated hydrothermal process. The structure, shape and particle size were characterized by means of x-ray diffraction (XRD) and transmission electron microscopy (TEM). The vacuum ultraviolet (VUV) spectrum of  ${\rm GdF_3:Eu^{3+}}$  NCs shows that the  ${\rm Gd^{3+}}$  ion can absorb one VUV photon excited in the  ${}^6{\rm G}_J$  levels and relaxes through two-step energy transfer to  ${\rm Eu^{3+}}$ , yielding two visible photons at room temperature. The visible quantum efficiency of  ${\rm GdF_3:Eu^{3+}}$  NCs was calculated to be close to 170% by the peak intensity ratio of correlative transition emission under VUV excitation at 160 nm.

#### 1. Introduction

In the past decades the development of phosphors for excitation in the vacuum ultraviolet (VUV) has become an important new topic in the field of luminescent material research [1-6]. VUV phosphors are required for application in mercury-free fluorescent tubes and in plasma display panels. The VUV phosphors used in mercury fluorescent tubes have quantum efficiencies close to 100%. Therefore, to make a noble gas discharge fluorescent tube a competitive phosphor with a quantum efficiency greater than 100% is required, i.e. more than one visible photon should be obtained per absorbed VUV photon, a so-called quantum cutter [7]. Wegh et al [8, 9] presented a quantum cutter concept based on the Gd<sup>3+</sup>-Eu<sup>3+</sup> couple in LiGdF<sub>4</sub>:Eu<sup>3+</sup>. The internal quantum yield was determined to be approximately 200% by comparing the intensity ratio under 273 nm excitation ( ${}^8S_{7/2} - {}^6I_J$ ) with that under 202 nm excitation into the higher  ${}^{6}G_{J}$  level of  $Gd^{3+}$ . Similar work based on Gd<sup>3+</sup>-Eu<sup>3+</sup> couples has also been reported by the Feldmann group [10] and the Lin group [11]. Feldmann et al determined the external quantum efficiency to be 32%, which was obtained for  ${}^8S_{7/2}-{}^6G_J$  excitation at 202 nm (the absolute light output and external quantum

efficiency were derived by using Y<sub>2</sub>O<sub>3</sub>:Eu as a reference) [10]. Lin et al determined the visible quantum efficiency to be 160%, which was obtained for  ${}^8S_{7/2} - {}^6G_J$  excitation at 194 nm (non-radiative losses due to UV emission from Gd<sup>3+</sup> are negligible) [11]. In the GdF<sub>3</sub>:Eu<sup>3+</sup> single-crystal system [9], 4f<sup>6</sup>5d-4f<sup>7</sup> emission of Eu<sup>2+</sup> ions is due to the reducing atmosphere during the preparation of the sample in a carbon crucible, and the relative increase of <sup>5</sup>D<sub>0</sub>, <sup>5</sup>D<sub>1,2,3</sub> emission is much larger for GdF<sub>3</sub>:Eu<sup>3+</sup> than for LiGdF<sub>4</sub>:Eu<sup>3+</sup>. Because energy transfer from Gd<sup>3+</sup> to Eu<sup>3+</sup> in GdF<sub>3</sub> is not as efficient as in LiGdF<sub>4</sub>, in the meanwhile the presence of the Gd<sup>3+</sup>  $^6$ G<sub>J</sub>  $\rightarrow$  $^{6}\mathrm{P}_{I}$  and  $\mathrm{Eu^{2}} + 4\mathrm{f^{6}5d} \rightarrow 4\mathrm{f^{7}}$  emissions in the spectra makes calculation of the efficiency of the two-step energy transfer process more complicated than that for LiGdF<sub>4</sub>:Eu<sup>3+</sup>. So the efficiency of the cross-relaxation step was calculated, by the same method as for LiGdF<sub>4</sub>:Eu<sup>3+</sup>, to lie between 80% and 100% [9]. Berkowitz et al [12] measured the absolute vacuum ultraviolet-to-visible conversion, or quantum efficiency of a number of phosphor systems (Zn<sub>2</sub>SiO<sub>4</sub>:Mn, Y<sub>2</sub>O<sub>3</sub>:Eu,  $YVO_4$ :Eu, ZnS:M (M = Cu, Ag, Ag + Cu, and Cu + Al), ZnO:Zn and  $GdPO_4:Re$  (Re = Eu, Tb, and Ce + Tb)) for VUV excitation energies from 5 to 25 eV. Their results demonstrate the need to minimize loss mechanisms such as colour centres, trapping levels and surface defects. These loss mechanisms can easily dominate the photoluminescent process, preventing

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a phosphor which exhibits multiple photon emission processes from achieving a quantum efficiency greater than 1.

Rare earth (or metal) fluorides are normally synthesized by a traditional high-temperature solid state reaction, which requires a complicated set-up under an atmosphere of F<sub>2</sub> or HF mixed with an inert carrier gas to avoid possible contamination from oxygen [13]. Recently, mild hydrothermal [14] and solvothermal reactions [15, 16] have been developed to synthesize fluorides. The products based on these procedures usually exhibit relatively large and variable grain sizes. Since Bender et al [17] synthesized neodymium-doped barium fluoride nanoparticles via a reverse micelles technique, reverse microemulsions have been successfully used for the synthesis of CeF<sub>3</sub> [18], BaF<sub>2</sub>:Ce [19], BaF<sub>2</sub>:Er [20] nanoparticles and BaF<sub>2</sub> nanowires [21]. Complex fluorides such as LiBaF<sub>3</sub> [22] and KMF<sub>3</sub> (M = Zn and Cd) [23] nanocrystals (NCs) have also been prepared by a microemulsion process and mild solvothermal process, respectively. So far, there have been no reports of preparation of GdF3 NCs. Here we report a microemulsion-mediated hydrothermal process for preparing GdF<sub>3</sub>:Eu<sup>3+</sup> NCs and nanorods using GdCl<sub>3</sub>·6H<sub>2</sub>O, EuCl<sub>3</sub>·6H<sub>2</sub>O and NH<sub>4</sub>F (or HF) as precursors. Their structure, shape and particle size were characterized by means of XRD and TEM. A visible quantum efficiency of GdF<sub>3</sub>:Eu<sup>3+</sup> NCs was also obtained for  ${}^8S_{7/2}-{}^6G_J$  excitation at 160 nm.

#### 2. Experimental details

All the reagents used in this study were analytically pure except for spectrographically pure Gd<sub>2</sub>O<sub>3</sub> and Eu<sub>2</sub>O<sub>3</sub>. GdCl<sub>3</sub>·6H<sub>2</sub>O and EuCl<sub>3</sub>·6H<sub>2</sub>O were prepared by dissolving Gd<sub>2</sub>O<sub>3</sub> and Eu<sub>2</sub>O<sub>3</sub> in hydrochloric acid and then recrystallizing five times. Europium-doped GdF<sub>3</sub> NCs and nanorods were prepared from the quaternary reverse micelles of cetyltrimethylammonium bromide (CTAB), cyclohexane, n-pentanol and water. a typical synthesis, two identical solutions were prepared by dissolving CTAB (2.0 g) in 31 ml of cyclohexane and 2.0 ml of *n*-pentanol. The mixing solution was stirred for 30 min until it became transparent. Next, 2 ml of GdCl<sub>3</sub> and EuCl<sub>3</sub> aqueous solution (containing  $1 \times 10^{-3}$  mol GdCl<sub>3</sub>·6H<sub>2</sub>O and  $5 \times 10^{-6}$  mol EuCl<sub>3</sub>·6H<sub>2</sub>O, the Eu<sup>3+</sup> concentration was 0.5 mol% referred to Gd3+) and 2 ml of 6.0% HF aqueous solution (or 2 ml of 1.5 mol 1<sup>-1</sup> NH<sub>4</sub>F aqueous solution) were added to the respective solutions. After substantial stirring, the two optically transparent microemulsion solutions were mixed and stirred for another 30 min. The resulting microemulsion solution was then transferred into a 100 ml stainless Teflonlined autoclave and heated at 150 °C for 10 h. The resulting suspension was allowed to cool to room temperature. After 12 h of ageing, the final products were collected and washed several times with methanol and distilled water. Finally, the GdF<sub>3</sub>:Eu<sup>3+</sup> (0.5 mol%) NCs were obtained after the samples were centrifuged and dried in a vacuum at room temperature.

The phase purity of  $GdF_3$ : $Eu^{3+}$  NCs was characterized by a Rigaku D/max-II B x-ray powder diffractometer using Cu K $\alpha_1$  radiation ( $\lambda=0.1541$  nm). The step-scan covered the angular range from 20 to 60 in steps of 0.02. The morphology of the products was examined on a field emission scanning electron microscope (FESEM, XL30 ESEM FEG) and a JEM-2000FX transmission electron microscope (TEM)

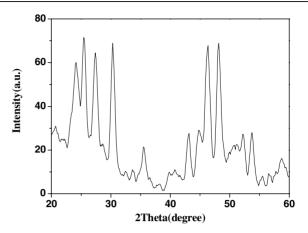


Figure 1. XRD pattern of GdF<sub>3</sub>:Eu<sup>3+</sup> (0.5 mol%) NCs.

with accelerating voltage of 160 kV. The VUV spectra of  $GdF_3$ : $Eu^{3+}$  NCs was obtained with a VUV spectrofluorometer with a deuterium lamp as the light source. Photoluminescent spectra were measured using a Hitachi F-4500 fluorescence spectrometer.

#### 3. Results and discussion

Figure 1 shows the XRD pattern of the Eu-doped GdF<sub>3</sub> NCs a dopant level of 0.5 mol%. It can be readily indexed to an orthorhombic phase (space group Pnma) with a lattice constant a=6.575 Å, b=4.986 Å and c=4.394 Å, which is in agreement with the standard values for the bulk GdF<sub>3</sub> (JCPDS 49-1804). No other peaks or impurities are detected. Therefore, XRD confirmed the phase purity of the GdF<sub>3</sub>:Eu<sup>3+</sup> (0.5 mol%) NCs obtained from the microemulsion-mediated hydrothermal process. According to the Scherrer equation, the size of the GdF<sub>3</sub>:Eu<sup>3+</sup> particles was estimated to be about 20 nm.

The FESEM and TEM images of the products shown in figure 2 indicated that GdF<sub>3</sub>:Eu<sup>3+</sup> are a mixture of NCs and a small number of nanorods. The size of the GdF<sub>3</sub>:Eu<sup>3+</sup> NCs is about 18 nm. The GdF<sub>3</sub>:Eu<sup>3+</sup> nanorods are 60-200 nm in length and 18-20 nm in diameter, in agreement with the XRD result. The similar sizes and shapes of products were obtained by using different precipitators such as HF aqueous solution and NH<sub>4</sub>F solution. A water-in-oil (W/O) microemulsion is a transparent and isotropic liquid medium with nanosized water pools dispersed in a continuous phase and stabilized by surfactant and cosurfactant molecules at the water/oil interface. These water pools offer ideal microreactors for the formation of GdF<sub>3</sub>:Eu<sup>3+</sup> nanocrystals under hydrothermal conditions. The appearance of some of the nanorods in the samples indicated that hydrothermal conditions and a medium surfactant concentration (0.06 mol  $l^{-1} < M_{CTAB} <$ 1.5 mol l<sup>-1</sup>) may affect the micellar sizes (nanosized water pools) and shapes [21].

Excitation spectra of the  ${}^5D_0-{}^7F_2$  emission of Eu<sup>3+</sup> (614 nm) at room temperature (298 K) is shown in figure 3. The excitation spectra of the  ${}^5D_0$  emission indicated that the two sharp peaks, located at 205 and 273 nm correspond to excitation into the Gd<sup>3+</sup>  ${}^6G_J$  ( ${}^8S_{7/2}-{}^6G_J$ ) and  ${}^6I_J$  ( ${}^8S_{7/2}-{}^6I_J$ )

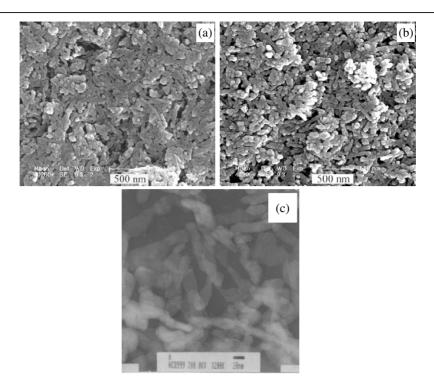
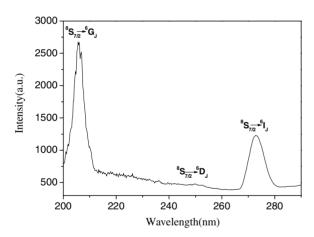
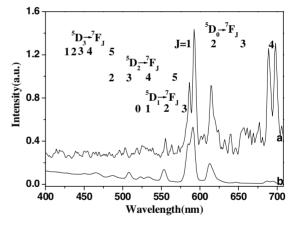


Figure 2. FESEM images and TEM micrograph of  $GdF_3$ : $Eu^{3+}$  (0.5 mol%) NCs. (a) FESEM image of NCs using  $GdCl_3 \cdot 6H_2O$ ,  $EuCl_3 \cdot 6H_2O$  and HF as precursors. (b) FESEM image using  $GdCl_3 \cdot 6H_2O$ ,  $EuCl_3 \cdot 6H_2O$  and  $NH_4F$  as precursors. (c) TEM micrograph by using  $GdCl_3 \cdot 6H_2O$ ,  $EuCl_3 \cdot 6H_2O$  and HF as precursors.



**Figure 3.** Excitation spectra of GdF<sub>3</sub>:Eu<sup>3+</sup> (0.5 mol%) NCs monitored at 614 nm ( $^5D_0$ – $^7F_2$  emission of Eu<sup>3+</sup>)

levels, respectively. This confirms the occurrence of two-step energy transfer from the  ${}^6G_J$  level of  $Gd^{3+}$  to  $Eu^{3+}$ . In the  $Gd^{3+}-Eu^{3+}$  couple, the first step of the energy transfer occurs by cross relaxation between the  ${}^6G_J$  state in  $Gd^{3+}$  and the  ${}^7F_J$  ground state in  $Eu^{3+}$ , resulting in the  ${}^5D_0$  excited state in  $Eu^{3+}$  and the  ${}^6P_J$  state in  $Gd^{3+}$ . In the second step, the  $Gd^{3+}$  ion in the  ${}^6P_J$  state transfers the remaining excitation energy to a second  $Eu^{3+}$  ion, which is followed by fast relaxation to the  ${}^5D_J$  states. Both steps result in the emission of a visible photon due to the  ${}^5D_{J-}{}^7F_J$  transitions on  $Eu^{3+}$  [8, 9]. In figure 3, the  $Gd^{3+}$   ${}^8S_{7/2}-{}^6D_J$  transition is hardly observed relative to the  ${}^8S_{7/2}-{}^6G_J$  and  ${}^8S_{7/2}-{}^6I_J$ . The line intensity of the  ${}^8S_{7/2}-{}^6I_J$  excitation appears to be about double that of the  ${}^8S_{7/2}-{}^6I_J$  line.



**Figure 4.** Emission spectra of  ${\rm GdF_3:Eu^{3+}}$  (0.5 mol%) upon (a)  ${}^8{\rm S}_{7/2}{}^{-6}{\rm G}_J$  excitation on  ${\rm Gd^{3+}}$  (160 nm) at 300 K and (b)  ${}^8{\rm S}_{7/2}{}^{-6}{\rm I}_J$  excitation on  ${\rm Gd^{3+}}$  (273 nm) at 300 K.

Figure 4 shows the emission spectra of  $GdF_3:Eu^{3+}$  (0.5 mol%) NCs at room temperature upon excitation in the  ${}^6G_J$  (160 nm) levels and  ${}^6I_J$  (273 nm) levels, respectively. The  ${}^5D_0$ ,  ${}^5D_1$ ,  ${}^5D_2$  and  ${}^5D_3-{}^7F_J$  emissions due to transitions on the  $Eu^{3+}$  ion are shown. From figure 4, the visible quantum cutting by two-step energy transfer occurs in  $GdF_3:Eu^{3+}$  NCs because the  ${}^5D_0$  emission intensity increases relative to  ${}^5D_{1,2,3}$  emission upon  ${}^6G_J$  excitation compared with  ${}^6I_J$  excitation. Several emission lines which cannot be assigned to  ${}^5D_J-{}^7F_J$  transitions are observed in the region between 410 and 570 nm. From the emission spectrum upon excitation in  $Gd^{3+}$   ${}^6G_J$  (figure 4(a) curve) and  ${}^6I_J$  (figure 4(b) curve), the  ${}^5D_0/{}^5D_{1,2,3}$ 

emission intensity ratios are about 4.3 and 2.1 according to the quantum efficiency equation of Wegh *et al* [8]:

$$\frac{P_{\rm CR}}{P_{\rm CR} + P_{\rm DT}} = \frac{R(^5 {\rm D}_0/^5 {\rm D}_{1,2,3})_{^6 {\rm G}_J} - R(^5 {\rm D}_0/^5 {\rm D}_{1,2,3})_{^6 {\rm I}_J}}{R(^5 {\rm D}_0/^5 {\rm D}_{1,2,3})_{^6 {\rm I}_J} + 1}.$$

In this way, a large part of the VUV excitation energy of Gd<sup>3+</sup> may be lost through non-radiative relaxation (zero photon emission), particularly in GdF<sub>3</sub>:Eu<sup>3+</sup> nanocrystals. Non-radiative losses at defects and impurities can lower the quantum efficiency. A visible quantum efficiency of about 170% for GdF<sub>3</sub>:Eu<sup>3+</sup> (0.5 mol%) NCs upon excitation in <sup>6</sup>G<sub>J</sub> can be obtained if non-radiative losses can be prevented (for example, losses due to energy migration and energy transfer to non-radiative quenching centres in the lattice). In Wegh's report, experience with lanthanide phosphors has shown that non-radiative losses can be low if the synthesis procedure is optimized [8]. Thus, in an optimized GdF<sub>3</sub>:Eu<sup>3+</sup> NCs phosphor, a visible quantum efficiency of about 170% may be possible if non-radiative losses due to UV emission from Gd<sup>3+</sup> are negligible. This value is approximately consistent with Wegh's report for the GdF<sub>3</sub>:Eu<sup>3+</sup> single-crystal system [9]. In this research we have not been able to determine absolute quantum efficiencies of phosphors under VUV excitation, however Feldmann et al did some excellent work [10]. The results reported here, however, show that the two-step energy transfer process occurs with a higher efficiency.

### 4. Conclusion

In summary, europium-doped  $GdF_3$  nanocrystals have been successfully prepared for the first time using the microemulsion-mediated hydrothermal process. The final products are NCs of 18 nm in size and a few nanorods of 60–200 nm in length and 18–20 nm in diameter. Excitation spectra of the  $^5D_0$ – $^7F_2$  emission of  $Eu^{3+}$  (614 nm) and emission spectra at room temperature (298 K) confirm the occurrence of two-step energy transfer from the  $^6G_J$  level of  $Gd^{3+}$  to  $Eu^{3+}$ . The visible quantum efficiency upon excitation in  $^6G_J$  is close to 170% for  $GdF_3$ : $Eu^{3+}$  (0.5 mol%) NCs (if non-radiative losses due to UV emission from  $Gd^{3+}$  are negligible). Future research on quantum cutting phosphors based on fluoride nanocrystals should concentrate on improvement of the quantum efficiency. Meanwhile, studies

of the possibility of synthesizing other rare earth ion-doped fluoride nanostructures using a similar method are under way.

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